



Lateral Habenula Beyond Avoidance: Roles in Stress, Memory, and Decision-Making With Implications for Psychiatric Disorders

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In this Perspective review, we highlight some of the less explored aspects of lateral habenula (LHb) function in contextual memory, sleep, and behavioral flexibility. We provide evidence that LHb is well-situated to integrate different internal state and multimodal sensory information from memory-, stress-, motivational-, and reward-related circuits essential for both survival and decision making. We further discuss the impact of early life stress (ELS) on LHb function as an example of stress-induced hyperactivity and dysregulation of neuromodulatory systems within the LHb that promote anhedonia and motivational deficits following ELS. We acknowledge that recent technological advancements in manipulation and recording of neural circuits in simplified and well-controlled behavioral paradigms have been invaluable in our understanding of the critical role of LHb in motivation and emotional regulation as well as the involvement of LHb dysfunction in stress-induced psychopathology. However, we also argue that the use of ethologically-relevant behaviors with consideration of complex aspects of decision-making is warranted for future studies of LHb contributions in a wide range of psychiatric illnesses. We conclude this Perspective with some of the outstanding issues for the field to consider where a multi-systems approach is needed to investigate the complex nature of LHb circuitry interactions with environmental stimuli that predisposes psychiatric disorders.

Keywords: lateral habenula, LHb, memory, reward, motivation, sleep, psychiatric illnesses, early life stress

INTRODUCTION

The lateral habenula (LHb) clearly plays a role in learning and memory since LHb disruption produces deficits on tasks that require the processing of contextual information (Baker et al., 2015; Durieux et al., 2020), spatial working memory (Mathis and Lecourtier, 2017; Mathis et al., 2017), and/or stimuli associated with negative valence outcomes (Stamatakis et al., 2016; Knowland and Lim, 2018; Sosa et al., 2021). Across these diverse types of memory and cognitive processing, a fundamental contribution of the LHb may be to constantly monitor one's current internal state

relative to external environmental conditions so that behaviors can be modified as needed (Baker et al., 2015; Mathis and Lecourtier, 2017; Lecca et al., 2020). Such a contribution appears to rely on the integration and signaling of cognitive, motivational/emotional, and behavioral state information (Sutherland, 1982; Chastrette et al., 1991; Nair et al., 2013; Mendoza, 2017; Shepard and Nugent, 2021). For example, LHB responds to positive and negative choice outcomes (Matsumoto and Hikosaka, 2009; Li et al., 2019), the generation of prediction error signals (Hong and Hikosaka, 2013; Tian and Uchida, 2015), changes in motivational and physiological states [e.g., stress, time of day, etc., (Shepard et al., 2018b; Salaberry et al., 2019; Langlois et al., 2021)], and changes in behavioral state (Baker et al., 2015; Nuno-Perez et al., 2018; Lecca et al., 2020).

Functional efferent and afferent connections of the habenula [reviewed in detail in Baker et al. (2015) and Quina et al. (2015)] to areas including the frontal cortical areas (Mathis et al., 2017), the basal ganglia (Wallace et al., 2017), the ventral tegmental area (Stamatakis et al., 2013; Liu et al., 2021). Despite increasing supporting evidence of this broad view of LHB function, a number of significant issues remain to be resolved if we are to sufficiently understand the adaptive relevance of the LHB for everyday memory function. These advances will aid in the development of novel interventions for neuropsychiatric conditions that have been linked to LHB dysfunction such as depression, anxiety, and addiction.

In the following, we focus on key outstanding issues related to two widely held concepts regarding LHB function: 1) The LHB serves as a critical interface for context memory and internal emotional state information, and 2) This integrative role positions the LHB to play a key role in specific psychopathological symptoms due to poor integration of context and emotional information, such as that which occurs when stressed. Evidence to support these general concepts of LHB function is highlighted along with examples of research that exemplify important unresolved issues. It is then suggested that our understanding of the contribution of the LHB to behavior can be substantially enhanced by greater inclusion of more ethologically-relevant tasks. Finally we conclude with suggestions for paths forward.

ROLE OF THE LATERAL HABENULA IN MEMORY PROCESSES: AN INTERFACE BETWEEN CONTEXT AND INTERNAL EMOTIONAL STATE

A growing number of studies have demonstrated, in rodents, that pharmacological or chemogenetic inhibition of LHB induced deficits of several types of memory, including long-term spatial memory in the water maze (Mathis et al., 2015), contextual memory in an object-based recognition task (Goutagny et al., 2013), short-term memory in a delayed non-matching to position task (Mathis and Lecourtier, 2017), fear memory in a trace fear-conditioning paradigm (Durieux et al., 2020)

as well as inhibitory avoidance (Tomaiuolo et al., 2014) [see also Song et al. (2017)]. One noteworthy aspect of these examinations is that the engagement of the LHB in learning and memory appears to relate to two aspects of the ongoing situation: its emotional valence and the context in which it occurs.

It does not seem surprising that the LHB is particularly engaged in memory tasks requiring the processing of contextual cues during negative emotional situations, as it has a major role in signaling aversion (Hennigan et al., 2015; Li et al., 2019) and it shows strong activation in response to a large number of stressors (Chastrette et al., 1991; Lecca et al., 2017; Li et al., 2019). In the water maze, LHB dysfunctions not only induced memory deficits, i.e., a greater distance to reach the hidden platform during training and a lower time spent in the target quadrant (i.e., the area where the platform—which has since been withdrawn—was located) during the retention test [see Mathis et al. (2015)], but also led to signs of exacerbated stress, i.e., excessive thigmotaxic behavior (swimming along the edge of the pool) in conjunction with an increased corticosterone (CORT) release [(Mathis et al., 2015, 2018); see also Jacinto et al. (2017)].

These types of results following LHB dysfunction suggest that one of its main roles could be to process different modalities of an ongoing situation, including external environmental cues and internal emotional state, and to participate in the elaboration of appropriate behavioral responses. Hence, the LHB integrates external information as well as physiological, internal, signals. In that regard recent studies showed that the LHB signals stress and punishment in a context-dependent manner, as combination of stressors or contextual illumination reduces LHB stress response (Zhang et al., 2016; Huang et al., 2019). These findings suggest a yet underdetermined influence of external conditions over the LHB functions. Further studies are required to better understand how and in which conditions the LHB can simultaneously deal with external (context, nature of the threat) and internal (CORT levels, circadian rhythm) information. Such a role for the LHB in both both stress- and memory-related information processing raise an important question: are cognitive deficits a primary consequence of LHB dysfunction, secondarily inducing defective stress coping, or is an impossibility to cope with a stressful situation the primary consequence of LHB dysfunction, secondarily inducing learning and memory deficits?

At this point it is hard to answer this question. Indeed, most of the behavioral tests used to assess memory in rodents often include an aversive component to motivate the animals; electrical foot shocks in fear conditioning, cool water to swim in in order to find a hidden platform in the water maze, or food restriction in a variety of tasks using delayed non-matching to position paradigms (although the latter also imply reward-related processes). On the contrary, it might seem simpler to address stress response processes. Hence, as mentioned above, the LHB seems to be a crucial structure engaged in the response to stressors and in signaling aversive situations. The impact of stress over cognitive performances is well described. While low levels of stress can improve performances, a high or

229 prolonged stress will eventually induce deficits (Arnsten, 2015),
230 especially memory deficits (Kim and Diamond, 2002; Roozendaal
231 et al., 2009). A simple hypothesis would be to consider that,
232 if altered, the engagement of the LHb in stress integration
233 will interfere with memory processes, subsequently leading to
234 performance deficits. This would explain why pharmacological
235 inhibition of the LHb during the acquisition phase of each
236 training day prevented learning in a water maze paradigm
237 (Mathis et al., 2015). Such intervention likely increased the stress
238 load across training days, resulting in a flat learning curve.
239 Indeed, impaired rats showed an increased level of thigmotaxic
240 behavior (Mathis et al., 2015), which can be attributed to
241 defective stress coping, and exacerbated CORT levels (Mathis
242 et al., 2018). This is in accordance with the fact that LHb
243 dysfunction induces anxiety-like behaviors on the elevated plus
244 maze (Mathis et al., 2015). However, it might seem contradictory
245 with the fact that when LHb inhibition occurred at the probe
246 test following a drug-free training phase that should have
247 attenuated potential stress responses (during which one can
248 therefore postulate that rats had been used to the stressful
249 aspect of the situation and had been able to deal with it), it
250 nonetheless created retrieval deficits (Mathis et al., 2015). In
251 addition, during this probe test rats showed a reduced swim
252 speed, suggesting a “calm” exploration of the apparatus. We
253 have also found using a different paradigm, that following
254 habituation to the testing condition and drug-free training, LHb
255 inhibition impaired memory of object locations in an open
256 field when one of three objections is moved from a previous
257 location and replaced with a novel object (Goutagny et al.,
258 2013). All together these results suggest that the LHb role in
259 stress processing is not likely the only reason for the observed
260 memory deficits.

261 These findings appear to support the idea that cognitive
262 deficits are a primary consequence of LHb dysfunctions,
263 secondarily inducing exacerbated stress. Indeed, the thigmotaxic
264 behavior observed in the water maze following LHb inhibition
265 might reflect the engagement of a default behavioral response as
266 a consequence of a lack of knowledge about the platform location.
267 Such a behavior might be interpreted as a “low-cost” strategy
268 triggered when no memory-based strategy is available. The CORT
269 elevation would then be a consequence required for the physical
270 effort and partially reflecting stress.

271 Finally, a third case would be that the LHb processes
272 stress- and memory-related information in an independent
273 manner. However, as said earlier, the existing paradigms assessing
274 cognitive processes do not necessarily give the possibility to
275 address stress and memory independently and then together.
276 Indeed, the intrinsic aversive aspect of most of the behavioral
277 tests assessing memory prevents from dissociating these two
278 aspects. One possibility though could be to add a supplementary
279 stressor and assess the effect of this other stressors on
280 memory performances.

281 Beside the behavioral paradigms, understanding how the LHb
282 receives contextual and stress-related information could help to
283 answer this chicken and egg question. Indeed, the LHb position
284 in the central nervous system is of great interest with regard to
285 stress and cognitive processes. The LHb belongs to the dorsal

286 diencephalic conduction system conveying information from the
287 prefrontal cortex, several septal nuclei, the hypothalamus or the
288 entopeduncular nucleus to midbrain monoaminergic areas such
289 as the raphe, ventral tegmental area and the locus coeruleus
290 (Roman et al., 2020).

291 Understanding how the LHb receives contextual and stressful
292 information would help to answer this chicken and egg question.
293 Interestingly, upon cognitive testing, a functional connectivity
294 between the LHb and both the mPFC (Mathis et al., 2017)
295 and HPC (Baker et al., 2019; Durieux et al., 2020) has been
296 shown to exist. In addition, the LHb and HPC, although not
297 directly anatomically connected, likely communicate whether
298 it is during exploration of an unfamiliar environment or
299 during rapid eye movement (REM) sleep episodes (Aizawa
300 et al., 2013; Goutagny et al., 2013). The link with sleep is
301 of particular interest as communication between the LHb and
302 HPC could be related to past experiences and therefore be
303 part of the mechanisms underlying HPC-dependent learning
304 and memory processes. A specific role of the LHb in sleep-
305 dependent processes seems also in accordance with the fact that
306 the LHb shows circadian oscillatory activity and is implicated
307 in circadian-related behaviors (Guilding et al., 2010; Baño-
308 Otálora and Piggins, 2017; Mendoza, 2017; Huang et al., 2019;
309 Salaberry et al., 2019). A better understanding of the LHb-
310 related network conveying memory-related information would
311 help untangle whether memory deficits are at the origin or the
312 consequences of the observed exacerbated stress response in
313 the different memory tasks aforementioned (e.g., water maze,
314 fear conditioning).

315 Further investigations are needed to fully understand how
316 the different types of information (contextual vs. stress-related)
317 are integrated by the LHb. This could be performed using
318 behavioral paradigms that include repeated stressful situations,
319 in order to potentially capture habituation processes and coping
320 strategies. It would be interesting, in such paradigms, to
321 investigate the activity of the LHb in conjunction with those
322 of prefrontal cortical, hippocampal, and amygdalar regions, and
323 explore the level of communication between those structures
324 according to the different aspects of the paradigm, including
325 the acute response to the stressful procedure, and the coping
326 mechanisms upon repetition of it. Examinations could also
327 include important stress-related structures which send input
328 to the LHb, such as the hypothalamus (Lecca et al., 2017;
329 Trusel et al., 2019), the entopeduncular nucleus (Stephenson-
330 Jones et al., 2016; Li et al., 2019), frontal cortical areas
331 (Kim and Lee, 2012; Fillinger et al., 2017), and the VTA
332 (Stamatakis et al., 2013) which likely send information related
333 to the emotional valence of the situation, thus positioning
334 the LHb as a cerebral “hub,” linking different macro-systems
335 (Geisler and Trimble, 2008).

336 It will also be important to better describe the influence of the
337 context over the stress-related aspect of the paradigm. The recent
338 results showing that environmental illumination conditions
339 directly influence the LHb capacity to signal stress through a
340 retino-thalamo-habenular circuit, and participates in the effect
341 of light therapy in depression, is a first step toward this goal
342 (Huang et al., 2019). The recent advances in neuroscience allow

343 *in vivo* circuit specific investigation and will likely participate in
 344 elucidating these issues.

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LATERAL HABENULA REPRESENTS A KEY NODE FOR INCREASED RISK OF PSYCHOPATHOLOGY FOLLOWING EARLY LIFE ADVERSITY

353 It is well-established that exposure to childhood adversity/early
 354 life stress (ELS) is a strong predictor for several later life mental
 355 disorders, including substance use disorders (SUDs), anxiety
 356 and depression (Heim et al., 2010; Lippard and Nemeroff,
 357 2020; Shepard and Nugent, 2020, 2021). Common forms
 358 of childhood adversity include child abuse and neglect,
 359 domestic violence, and family economic hardship. The
 360 recent COVID-19 pandemic shutdowns across the globe
 361 have caused detrimental effects on child mental health
 362 with the increased risk for domestic violence, child abuse
 363 and neglect, compounded by food and housing insecurity
 364 (Gotlib et al., 2020; Humphreys et al., 2020; Lawson et al.,
 365 2020; Yard et al., 2021). Poor responsivity of psychiatric
 366 patients with a prior history of ELS to psychotherapy and/or
 367 pharmacotherapy further necessitates a better understanding
 368 of the mechanisms and neural circuits that link ELS with
 369 mental illnesses to identify potential novel interventional
 370 therapeutic targets.

371 Prominent ELS rodent and primate models employ early
 372 disruptions in mother-infant relationship such as a single 24 h
 373 maternal deprivation (MD), repeated daily maternal separation
 374 (MS), and limited bedding and nesting (LBN) (Macrì et al.,
 375 2007; Nishi et al., 2014; Shepard et al., 2018a; Okhurobo
 376 et al., 2020). Although these ELS models may not reflect all
 377 types of early adverse experiences, they are associated with
 378 persistent depressive-and anhedonia-like behaviors (Tchenio
 379 et al., 2017; Authement et al., 2018; Bolton et al., 2018a;
 380 Shepard et al., 2018b; Simmons et al., 2020) and altered drug
 381 reward (Bolton et al., 2018b; Okhurobo et al., 2020; Langlois
 382 et al., 2021; Levis et al., 2021) suggesting the translational
 383 validity of these models for child neglect. However, it should
 384 be noted that not all animals that experience ELS develop
 385 stress psychopathology or substance use disorders later in
 386 life which is also the case for children exposed to adversity
 387 (Kalinichev et al., 2002; Moffett et al., 2006; Ordoñez Sanchez
 388 et al., 2021). Thus, in preclinical ELS research, differences
 389 between predictable (MS) and unpredictable (single prolonged
 390 MD and limited bedding and nesting) stressors as well as the
 391 duration of separation and alterations in maternal behavior
 392 should be taken into account which may confer resistance
 393 or vulnerability and directly impact the outcomes in terms
 394 of addictive behaviors, depression and mood phenotypes
 395 in these models.

396 Several neural pathways and neurobiological mechanisms
 397 such as the hypothalamic-pituitary-adrenal (HPA) axis and extra-
 398 hypothalamic corticotropin-releasing factor (CRF) circuits have
 399 been identified by which ELS may increase the risk for mood

dysregulation, stress-related disorders and addiction (Nemeroff, 400
 2016). Emerging evidence now suggests that ELS-induced 401
 alterations of reward- and stress-related brain regions such as 402
 ventral tegmental area (VTA), amygdala, nucleus accumbens, 403
 prefrontal cortex and LHB may underlie the increased risk for 404
 ELS-induced psychopathology (Authement et al., 2015, 2018; 405
 Peña et al., 2017, 2019; Tchenio et al., 2017; Bolton et al., 2018a; 406
 Shepard et al., 2020; Simmons et al., 2020; Langlois et al., 2021; 407
 Oh et al., 2021; Shepard and Nugent, 2021). Specifically, recent 408
 studies provided compelling evidence that the LHB is a critical 409
 converging brain region for ELS-induced dysregulation of reward 410
 circuits (Tchenio et al., 2017; Authement et al., 2018; Bolton 411
 et al., 2018b; Simmons et al., 2020). The LHB links forebrain 412
 limbic structures with midbrain monoaminergic centers (Schultz, 413
 2010; Cohen et al., 2012; Proulx et al., 2014) and is involved 414
 in reward/aversion-related learning and memory processing 415
 associated with avoidance from stressful and aversive situations 416
 through suppression of dopamine and serotonin systems. 417
 Specifically, anatomically and/or functionally diverse neuronal 418
 populations within the LHB modulate motivated behaviors 419
 through cell type-specific projections to non-overlapping targets 420
 including the VTA, substantia nigra compacta, rostromedial 421
 tegmental area (RMTg), or raphe nuclei (Stamatakis et al., 2016; 422
 Wallace et al., 2017; Cerniauskas et al., 2019; Hu et al., 2020; 423
 Lecca et al., 2020). Not surprisingly, LHB dysfunction contributes 424
 to a myriad of cognitive, learning, and affective impairments 425
 associated with depression, anxiety, psychosis and drug addiction 426
 (Graziane et al., 2018; Nuno-Perez et al., 2018; Proulx et al., 427
 2018). 428

429 The common finding among studies using ELS models
 MD (Authement et al., 2018; Shepard et al., 2018b; Simmons 430
 et al., 2020; Langlois et al., 2021) and MS (Tchenio et al., 431
 2017) is that ELS promotes LHB hyperexcitability although 432
 the underlying mechanisms vary from downregulation of small 433
 conductance (SK2) potassium channels and increased protein 434
 kinase (PKA) activity in LHB (Authement et al., 2018) to 435
 decreased postsynaptic GABA_BR-GIRK signaling arising from 436
 entopeduncular nucleus GABAergic inputs to LHB (Tchenio 437
 et al., 2017). Additionally, MD in rats persistently increases 438
 both tonic and bursting LHB activity from early adolescence 439
 to adulthood (Authement et al., 2018; Shepard et al., 2018b; 440
 Simmons et al., 2020; Langlois et al., 2021) consistent with 441
 the literature that LHB hyperactivity in general (and bursting 442
 in particular) contributes to the development of depression- 443
 like motivational and social deficits, and anhedonic phenotypes 444
 (Yang et al., 2018; Klein et al., 2020). Either chemogenetic 445
 inhibition of LHB neurons or deep brain stimulation that 446
 reduces LHB activity ameliorates MS-induced depressive-like 447
 phenotype in mice (the lack of motivation of mice to avoid 448
 an aversive context which is an escapable foot-shock) (Tchenio 449
 et al., 2017). Interestingly, juvenile MD rats show an increased 450
 active coping behavior in the forced swim test (with an increase 451
 in climbing behavior) while late adolescent rats exhibit an 452
 increased immobility in the forced swim test, both behavioral 453
 phenotypes are reversed by ketamine treatment (Shepard et al., 454
 2018b). More importantly, long-lasting anti-depressant effects 455
 of ketamine on MD-induced behavior in young adult rats is 456

457 associated with a return to normal levels of LHb neuronal
 458 excitability (Shepard et al., 2018b). MD also triggers an anhedonic
 459 phenotype in natural sucrose reward while also decreasing
 460 morphine intake in morphine self-administration acquisition
 461 associated with MD-induced glutamatergic plasticity in LHb
 462 neurons (Langlois et al., 2021).

463 Consistently, it has been shown that synaptic transmission
 464 from the LHb to the RMTg, a nucleus that suppresses dopamine
 465 neuronal activity and signaling, increases during transitions
 466 to immobility in the forced swim test to escape this aversive
 467 context. Activation of this LHb to RMTg circuit also decreases
 468 motivation of rats to work harder to receive sucrose reward
 469 in a progressive ratio schedule of operant appetitive task
 470 suggesting a critical role for the LHb in regulation of motivation
 471 (Proulx et al., 2018). Therefore, it is possible that MD-
 472 induced LHb glutamatergic plasticity and LHb hyperactivity
 473 could increase the excitatory drive from the LHb to the
 474 RMTg and underlie motivational deficits in MD rats. In the
 475 future, it is necessary to employ similar circuit-based studies
 476 of MD effects on motivation such as progressive ratio schedule
 477 in sucrose self-administration, morphine self-administration,
 478 or other motivation based effort tasks (see below). Overall,
 479 these findings highlight the role of LHb hyperactivity in ELS-
 480 induced induction of anhedonic states and altered opioid
 481 seeking where limiting LHb activity using novel fast-acting
 482 antidepressants such as ketamine or deep brain stimulation could
 483 have therapeutic potential. It remains unclear at this point,
 484 however, whether these effects are concurrent with broader
 485 cognitive and behavioral effects as has been noted with the
 486 aforementioned memory related tasks.

487 The deleterious effects of ELS on reward circuits also involve
 488 alterations of innate stress neuromodulators such as CRF/CRFR1
 489 and dynorphin (Dyn)/kappa opioid receptor (KOR) systems that
 490 contribute to the development of stress-induced drug seeking
 491 behaviors and negative affective states including anhedonia,
 492 social deficits and decreased motivation (as hallmark features
 493 of depression) following ELS (Land et al., 2008; Bruchas et al.,
 494 2010; Koob, 2010; Pautassi et al., 2012; Karkhanis et al., 2016;
 495 Mantsch et al., 2016; Bolton et al., 2018a; Knowland and
 496 Lim, 2018; Tejada and Bonci, 2019). Recent work on the
 497 neuromodulatory regulation of LHb excitability and synaptic
 498 transmission by CRF/CRFR1 and Dyn/KOR signaling and
 499 their dysregulation by MD in male rats (Authement et al.,
 500 2018; Simmons et al., 2020) further highlight involvement
 501 of critical neuromodulators within LHb circuits that could
 502 underlie ELS-induced anhedonia, motivational deficits, drug
 503 seeking behaviors, and flexibility related behaviors. Intriguingly,
 504 LBN-induced anhedonia is also associated with high c-fos
 505 expression (indicative of increased neuronal activity) in the LHb
 506 and increased extrahypothalamic CRF neurotransmission from
 507 central amygdala (Bolton et al., 2018b), a brain region that also
 508 projects to the LHb (Hu et al., 2020). Therefore, additional
 509 insight into molecular mechanisms underlying CRF/CRFR1 and
 510 Dyn/KOR neuromodulation within LHb and its circuits in ELS
 511 models may offer novel therapeutic interventions with specificity
 512 for uncoupling these pathologically hyperactive stress signaling
 513 pathways following ELS (Figure 1C).

POTENTIAL INSIGHTS INTO LATERAL HABENULA FUNCTION UTILIZING MORE COMPLEX, ETHOLOGICALLY RELEVANT BEHAVIORS

514 Initial reports examining the role of the habenular complex,
 515 of which the LHb is a part, placed a wide range of behaviors
 516 from sexual functions, to circadian signaling under its control
 517 (Sutherland, 1982). As the toolkit to examine brain area
 518 contributions to behaviors has advanced, the range of behaviors
 519 typically associated with the LHb has narrowed to principally
 520 include aversive outcome signaling such as the omission of an
 521 expected reward, memory related functions described above,
 522 and adaptive behavioral selection such as during probabilistic
 523 reversal learning where reward contingencies in a T-maze are
 524 reversed once animals learn task contingencies (Nair et al., 2013;
 525 Baker et al., 2015; Sosa et al., 2021). Some of this is likely
 526 due to the ability to restrict interventions to spare fibers of
 527 passage or target specific cell identities. This no doubt ruled out
 528 contributions more likely to have come from nearby areas and the
 529 like. However, another likely contributor has been a reduction in
 530 the range of behavioral conditions examined due to limitations
 531 imposed by advanced recording and manipulation techniques.

532 What may have been lost with an increased focus on simplified
 533 behaviors is a greater appreciation for the complex ways a
 534 brain area can contribute to dynamic situations. Indeed, the
 535 prior two sections demonstrate that despite rapid advances in
 536 molecular and circuit understanding of the LHb, the nature of
 537 its contribution to integrating stress and memory related
 538 behaviors remains unclear. Recent work in the fear literature
 539 has demonstrated that ethologically relevant behaviors can reveal
 540 additional insight or even challenge long established roles for
 541 brain areas in behavior (Gross and Canteras, 2012; Gomez-Marín
 542 et al., 2014; Kim and Jung, 2018). Specifically, the inclusion of
 543 different scents, visual stimuli, or sounds may help more closely
 544 match what an animal experiences in the wild (Kim and Jung,
 545 2018). For example, one such experiment involved placing rats
 546 in a continuous closed economy where food had to be accessed
 547 by risking shock during one period of the light dark cycle.
 548 Results revealed an amygdala dependent modulation of circadian
 549 rhythms can be elicited when the fear is timed to circadian cues
 550 (Pellman et al., 2015). In addition, realistic predator stimuli such
 551 as a plastic owl that surges from behind a hidden curtain while a
 552 hungry rat is foraging for food elicits opposite habituation to the
 553 fear related cues and willingness to enter a fear context in males
 554 and females than what is observed when using footshock and
 555 freezing as measures (Zambetti et al., 2019). Specifically, female
 556 rats are much less likely to approach the zone in which the owl
 557 surges than male rats.

558 When considering the role of the LHb in complex human
 559 psychiatric conditions, it is likely that similar additional
 560 insights into complex situations will also be gained by
 561 including ethological behavioral paradigms in animal models
 562 (Gomez-Marín et al., 2014) such as the closed economy, or
 563 simulated predator described above. Prior research utilizing
 564 ethologically relevant, complex behaviors have revealed a wealth
 565 of

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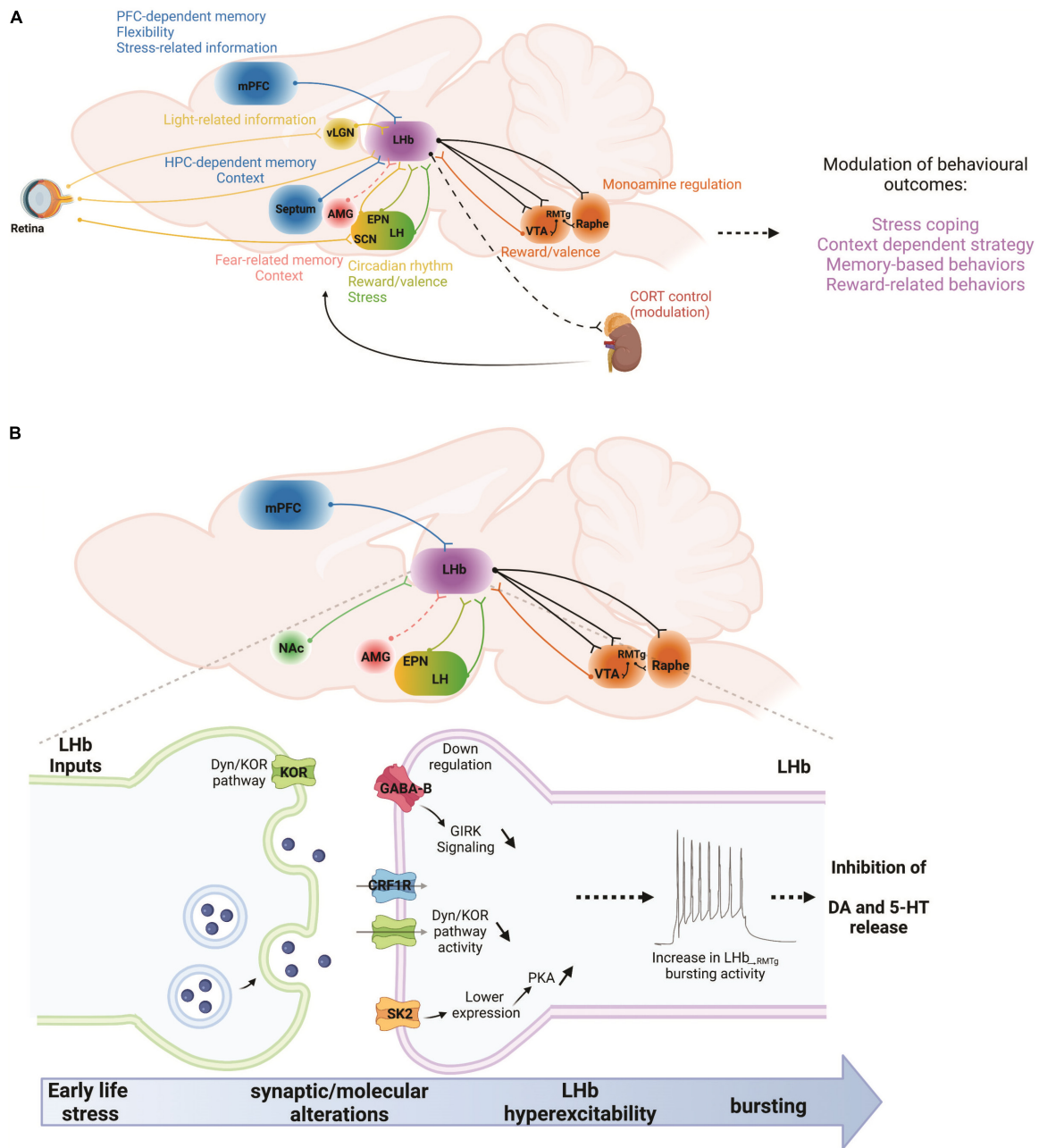


FIGURE 1 | (A) In a given situation, the Lhb can be viewed as a recipient of multiple types of information stemming from cortical and subcortical regions. Those include memory- and flexibility-related information from the mPFC, or hippocampal memory-related information from the septum. Emotional information arises from the AMG while stress-related information from the mPFC and the LH. Reward and valence-related information reach the Lhb from the EPN and the dopamine system. Finally, light-related information reaches the Lhb from the retina, either directly or through the vLGN and SCN. Once the Lhb has processed this information, they are communicated to the dopamine and serotonin systems, as well as to the HPA axis in order to adapt behavioral responses to changes in environmental constraints. AMG, amygdala; CORT, corticosterone; EPN, entopeduncular nucleus; HPC, hippocampus; LH, lateral hypothalamus; Lhb, lateral habenula; mPFC, medial prefrontal cortex; RMTg, rostromedial tegmental nucleus; SCN, suprachiasmatic nucleus; vLGN, ventral lateral geniculate nucleus; VTA, ventral tegmental area. **(B)** Early life stress, such as maternal deprivation (MD) and repeated daily maternal separation (MS) lead to Lhb hyperexcitability. Maternal deprivation in particular also increases Lhb neuronal bursting and intrinsic excitability. MS-induced Lhb hyperactivity is the consequence of altered input communication from at least the EPN with down-regulation of GABABR-GIRK signaling. On the other hand, MD dysregulates Dyn/KOR and CRF-CRF1R signaling pathways while increasing PKA activity that promotes the downregulation of small conductance (SK2) potassium channels. Increases in Lhb bursting and activity can in turn downregulate dopaminergic and serotonergic transmissions through hyperactivation of the RMTg, and therefore promote stress-related disorders such as anxiety and depression. Future studies will require an exploration of the potential contributions of other Lhb inputs to such intra-Lhb molecular disturbances, for example from the mPFC, NAc, AMG, and LH. AMG, amygdala; EPN, entopeduncular nucleus; LH, lateral hypothalamus; Lhb, lateral habenula; mPFC, medial prefrontal cortex; NAc, nucleus accumbens; RMTg, rostromedial tegmental nucleus; VTA, ventral tegmental area.

685 **TABLE 1** | Outstanding issues and questions.

686	1.	What role does the LHB play in memory processing by healthy brains? To answer this question, we need to better understand the precise short- vs. long-term roles of the mPFC and hippocampus in LHB memory processing. Also enhancing the ecologically-relevance and complexity of the behavioral assessments should facilitate our ability to further dissect the role of the LHB in memory.	742
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688	2.	What types of context memory information are conveyed to the LHB from the mPFC and hippocampus, and how is this information modified during stress or neuropsychiatric states such as depression and anxiety?	744
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690	3.	Does the neural mechanism of communication between limbic cortex and the LHB change over time, and does this communication become altered in neuropsychiatric conditions?	746
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692	4.	What are the cellular and network mechanisms by which the LHB integrates context memory (e.g., from mPFC and hippocampus) and motivational information related to emotional state? Future studies focused on the synaptic basis of memory formation and stress dysregulation of synaptic plasticity at these specific synaptic inputs to LHB are also warranted.	748
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694	5.	It is well documented that sleep is essential for normal memory and emotion regulation. The strong anatomical connection between the suprachiasmatic nucleus and the LHB, and functional ties between the hippocampus and LHB, suggest that at least part of a memory influence of the LHB may be related to its processing during sleep. This is an understudied area of LHB function.	750
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696	6.	LHB theories postulate that LHB output guides response flexibility and behavioral adaptation. The mechanisms involved in such behavioral guidance, however, are not clear, nor are the details by which stress might modify these output messages.	752
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698	7.	Often memory disruption is thought to be the consequence of a disordered behavioral state such as that observed after stress. It is possible, however, that a memory disruption could lead to a stressed state. Distinguishing these interpretations is important yet challenging given the dynamic nature of neural systems.	754
699			755
700	8.	Are there differential impacts of maternal separation (predictable stress) and single maternal deprivation (unpredictable stress) when it comes to resistance or vulnerability to addictive behaviors, depression and other mood phenotypes?	756
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702	9.	What are the critical neuromodulations within LHB that could underly anhedonia, motivational deficits, and drug seeking behaviors?	758
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706 of information into LHB contributions to decision-making.
707 For example, Thornton and Evans (1982) observed that when
708 rats were faced with an inescapable swimming scenario in
709 the Morris water maze followed by a means of escape *via*
710 rope climbing, habenula lesioned animals showed less flexible
711 behavior (e.g., switching from trying to climb out *via* the edge
712 of the pool to swimming to the middle to climb the rope)
713 and a reduced likelihood of achieving escape. Combining such
714 varied behaviors alongside the highly targeted molecular and
715 physiological techniques now at the neuroscientist's disposal
716 may elaborate previously unknown, or recently forgotten roles
717 for the LHB across a range of behaviors. For example, recent
718 advances in behavioral tracking has led to the ability to precisely
719 track positional information at a frame by frame granularity
720 using automated behavioral coding (Nath et al., 2019; Nilsson
721 et al., 2020). Understanding the neurophysiological changes
722 associated with shifts in complex behaviors (such as during
723 social interaction) and cue recognition could be critical to
724 understanding how the LHB combines input from forebrain and
725 memory related areas with stress related signals to influence
726 downstream modulatory systems (Proulx et al., 2014).

727 To some extent, a revisiting of ethological behaviors in the
728 LHB literature is already underway. Recent examples including
729 realistic social aggression paradigms where mice are chronically
730 socially defeated by a larger more aggressive strain (Flanigan
731 et al., 2020), experiences of maternal deprivation during rearing
732 described above (Shepard et al., 2018b), and social behavior
733 in zebrafish examining decisions to fight or flee (Okamoto
734 et al., 2021), are particularly relevant behaviors in the context
735 of psychiatric conditions. In addition, when neural recordings
736 have been obtained in freely behaving animals in dynamic
737 environments, a much more complex picture of its role in
738 ongoing behavior has emerged beyond signaling aversive stimuli
739 (Baker et al., 2015; Lecca et al., 2020). Specifically, neural signals
740 correlate strongly with velocity of animals as they seek rewards in
741 open fields or in a T-maze (Sharp et al., 2006; Baker et al., 2015;

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SUMMARY/CONCLUSION

Over the past 20 or so years, there has been significant evolution in our understanding of the functional importance of the LHB. This has led to the generally-accepted views that the LHB plays a key role in associating context-dependent memory with one's emotional state, and that dysfunction of this memory-emotion interface has neuropsychiatric consequences. As investigations continue to detail the dynamical nature of synaptic and circuit interactions of LHB function, it will be important to do so from a multi-level approach so that we will increasingly understand LHB function from its molecules to the circuits in which it is embedded. Having a more detailed comprehension of the LHB role in computing multimodal information regarding the emotional valence of a situation, prior stress experiences, and its contextual properties will likely help understanding some of the symptoms observed in pathologies associated with LHB dysfunctions such as depression (Li et al., 2013; Lecca et al., 2016; Nuno-Perez et al., 2018), addiction (Lecca et al., 2014;

Velasquez et al., 2014; Mathis and Kenny, 2019) frontotemporal dementia (Bocchetta et al., 2016), and possibly schizophrenia (Zhang et al., 2017; Schafer et al., 2018).

The emergence of psychopathological symptoms is particularly striking when examined under stress induced contexts. A growing appreciation of the role of early life stress in LHB processing of emotional context is an example of the importance of understanding the complex interactions between memory and goal-directed behavior (Tchenio et al., 2017; Shepard et al., 2018b; Shepard and Nugent, 2021). Also, the yet unexplored LHB function in sleep appears relevant due to the relation between sleep and stress exposure (Goldstein and Walker, 2014; Vandekerckhove and Wang, 2018), and because sleep disturbances are key features of pathologies involving LHB dysfunction such as depression (Kudlow et al., 2013) and schizophrenia (Carruthers et al., 2021). Such studies examining the interaction between sleep and stress will likely bring new insights about cognitive deficits (memory loss, attention deficits, anhedonia) observed in depressive patients (Hammar and Ardal, 2009; Disner et al., 2011; Culpepper et al., 2017) and other populations with noted sleep disturbances.

Before we can develop efficient interventions to treat dysfunctions in memory, stress, sleep, and emotion processing, a number of questions remain to be addressed to further our understanding of the behavioral and neural mechanisms that underlie the LHB's role in these contexts (Table 1). Overall, advances in our understanding of the functional significance of the LHB requires taking a multi-systems approach that includes the nature of the interactions between the LHB and its numerous afferent and efferent partners (Figure 1), as well as how the LHB plays central roles in many types of behaviors and

types of memory. While behaviors including sleep, emotional processing, and decision-making often require the inclusion of more complex, or ethologically relevant behavioral assays, the insights gained from these studies will likely have important implications for understanding how observed cellular and circuit changes contribute to complex human psychopathologies.

DATA AVAILABILITY STATEMENT

The original contributions presented in the study are included in the article/supplementary material, further inquiries can be directed to the corresponding author/s.

AUTHOR CONTRIBUTIONS

All authors contributed equally to this work and critically reviewed content and approved the final version of manuscript for submission.

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